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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/632,150	07/30/2003	Dah Shiam Chiaur	5914-098-999	1870
20583	7590	07/22/2008	EXAMINER	
JONES DAY			SHEN, WU CHENG WINSTON	
222 EAST 41ST ST			ART UNIT	PAPER NUMBER
NEW YORK, NY 10017			1632	
		MAIL DATE	DELIVERY MODE	
		07/22/2008	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/632,150	<b>Applicant(s)</b> CHIAUR ET AL.
	<b>Examiner</b> WU-CHENG Winston SHEN	<b>Art Unit</b> 1632

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED. (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 03 April 2008.
- 2a) This action is FINAL.      2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 50-76 is/are pending in the application.
- 4a) Of the above claim(s) 56-74 is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 50-55,75 and 76 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 30 July 2003 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
  1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO/SB/08)  
 Paper No(s)/Mail Date 04/03/2008
- 4) Interview Summary (PTO-413)  
 Paper No(s)/Mail Date. \_\_\_\_\_
- 5) Notice of Informal Patent Application
- 6) Other: \_\_\_\_\_

### **DETAILED ACTION**

Applicant's response received on 04/03/2008 has been entered. Claims 1-49 were cancelled. Claims 50-76 are pending. Claims 51 and 52 are amended. Claims 75 and 76 are newly added.

Claims 56-74 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim.

Claims 50-55, 75, and 76 are currently under examination.

#### *Specification*

Applicant filed amendments to the specification on 04/03/2008 as follows:

The present application is a divisional application of U.S. Patent Application No. 09/385,219, filed August 27, 1999, now U.S. Patent No. 6,720,181, which claims priority under 35 U.S.C. §119 to U.S. Provisional Patent Application No. 60/098,355, filed August 28, 1998, U.S. Provisional Patent Application No. 60/118,568, filed February 3, 1999, and U.S. Provisional Patent Application No. 60/124,449, filed March 15, 1999, the contents of which are incorporated herein by reference in their entirety.

#### *Priority date*

As stated on pages 2-3 of the office action mailed on 10/04/2007, the subject matter of claims 50-55 of instant application requires nucleotide sequence of SEQ ID No: 9 (2076 nucleotides) that encodes the amino acid sequences of SEQ ID No: 10 (447 amino acid residues), asserted to be FBP5. The SEQ ID No: 9 and SEQ ID No: 10 of instant application are identical

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to the SEQ ID No: 9 and SEQ ID No: 10 disclosed in the parent application 09/385,219, filed on 08/27/1999, now US patent 6,720,181.

It was noted that the provisional application 60/098,355 filed on 08/28/1998 disclosed SEQ ID No: 10 (447 amino acid residues, see Fig, 7B, page 155 of 60/098,355) that is identical to SEQ ID No: 10 of instant application. However, SEQ ID No: 9, a cDNA encodes FBP5, disclosed in the provisional application 60/098,355 is 1409 nucleotide-long ending with TGA (See Fig, 7A, page 154 of 60/098,355), which is much shorter than SEQ ID No: 9 (2076 nucleotides) disclosed in instant application. Additional provisional application 60/118,568 filed on 02/03/1999 and 60/124,449 filed on 03/13/1999 disclosed the same SEQ ID No: 9, a cDNA encodes FBP5, as that disclosed in the provisional application 60/098,355.

Therefore, the priority date of claim 50 and newly added claim 76 of instant application benefits from the priority dated back to the filing date of provisional application 60/098,355, 08/28/1998 because claim 50 only requires disclosure of SEQ ID No: 10 (447 amino acid residues).

Newly added claim 75 recites “a nucleotide sequence that is at least 25 consecutive nucleotides from nucleotide position 1 to nucleotide position 1409 of SEQ ID NO: 9, which encodes an F-box polypeptide, or a fragment thereof”. Accordingly, the priority date of claim 75 is determined to be 08/28/1998, which is the filing date of U.S. Provisional Patent Application No. 60/098,355.

The priority date of claims 51-55 was determined to be 08/27/1999, the filing date of parent application 09/385,219, now patent 6,720,181 as support for SEQ ID NO: 9 of the instant

application is not found in either US Provisional Application 60/098,355 (filed on 08/28/1998), 60/118,568 (filed on 02/03/1999), or 60/124,449 (filed on 03/15/1999).

***Claim Rejection - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

1. Claims 50-55 remain rejected and newly added claims 76 and 77 are rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific and substantial asserted utility or a well-established utility. Applicant's arguments filed 04/03/2008 have been fully considered and they are not persuasive. Previous rejection is *maintained* for the reasons of record advanced on pages 3-7 of the office action mailed on 12/01/2006.

In response to the Examiner's question pertaining to the relationship between SEQ ID NO: 19 and SEQ ID NO: 9, as both SEQ ID NO: 19 and SEQ ID NO: 9 are identified as corresponding to FBP5 in the specification at page 8, line 3, and at page 9, lines 5-6, respectively (see page 7 of office action mailed on 10/04/2007), Applicant indicates that SEQ ID NO: 19, which is referred to on page 8, line 3, corresponds to the amino acid sequence of the F-box motif of FBP5, which is a portion of FBP5, as recited in SEQ ID NO: 9. Applicant clarifies that the description of Figure 1 states "Alignment of the conserved F-box motif amino acid residues in the human F-box proteins..." (See, the specification at page 8, lines 1 to 2), and that the specification teaches that SEQ ID NO: 9 corresponds to the cDNA sequence of FBP5 (see, the specification at page 9, lines 6 to 7). Applicant's clarification is acknowledged and appreciated.

*Applicant's arguments*

With regard to whether nucleotide sequence SEQ ID No: 9 (which is asserted to encode amino acid SEQ ID No: 10) has credible, specific, and substantial utility, Applicant argues the following:

(i) The Examiner has applied an incorrect legal standard for satisfying 35 U.S.C. § 101.

The standard for satisfying the utility requirement is not whether the nucleic acid molecules of the present invention encode a functional ubiquitin ligase. The utility requirement under 35 U.S.C. § 101 does not equate to requiring that the nucleic acid molecules of the present invention have to encode a functional ubiquitin ligase (see bridging paragraph pages 7-8, Applicant's response filed on 04/03/2008);

(ii) As discussed previously, the nucleic acid molecules of the present invention do have a specific DNA target, which encodes a novel ubiquitin ligase subunit F box protein 5 ("FBP5"), comprising an F-box motif. Thus, the nucleic acid molecules of the present invention do have a specific utility (see second paragraph page 8, Applicant's response filed on 04/03/2008);

(iii) The specification discloses that F- box proteins, which are subunits of ubiquitin ligases that contain a motif, the F-box, which interacts with Skp1 (see, e.g., the specification at page 2, lines 20 to 28). The specification also teaches that F-box proteins play a role in the ubiquitin pathway and the regulation of the G1 phase of the cell cycle. Therefore, F-box proteins may be useful for the treatment of proliferation and differentiative disorders (see, e.g. the specification at page 58, lines 27 to page 59, line 36). Thus, unlike inventions that contain only a general statement of utility for unspecified diseases, the nucleic acid molecules of the present

invention have a specific utility (see second paragraph page 8, Applicant's response filed on 04/03/2008);

(iv) FBP5 was identified in a yeast 2-hybrid screen for its ability to interact with Skp1 (see, e.g., the specification at page 72, line 1 to page 78, line 28). Sequence analysis of the nucleic acid encoding FBP5 (SEQ ID NO: 9) revealed the presence of an F- box motif and immuno-precipitation experiments confirmed that FBP5 can interact with Skp1 (see, e.g., the specification at page 78, lines 29 to 32; page 80, lines 1 to 9). Accordingly, the specification has provided further evidence that FBP5 is an F-box protein that does indeed interact with the components of the ubiquitin ligase complex. These teachings in the specification thus exceed the threshold requirement of specific utility and substantial utility (see first paragraph page 9, Applicant's response filed on 04/03/2008);

(v) As discussed previously, deregulation of FBPs is implicated in cancer development (see, e.g., the specification at pages 3, line 3 to page 4, line 7; Amendment, filed June 1, 2007, page 6-7). The specification teaches that the nucleic acid molecules of the present invention can be used as probes for detecting FBP5. The specification also teaches that the FBP5 nucleic acid of the present invention is mapped and localized to chromosome position 6q25-26, a region shown to be a site of loss of heterozygosity in human ovarian, breast, and gastric cancer hepatocarcinomas, Burkitt's lymphomas, gliomas, and parathyroid adenomas (see, e.g., the specification at page 56, lines 8 to 14). The specification on page 57, lines 8-25 further teaches that FBP5 can be detected by hybridization assays (e.g., Northern blots, in situ-hybridization). Translocations, deletions and point mutations of FBP5 can be detected by Southern blotting, FISH, RFLP analysis, SSCP, and PCR. The specification further teaches that the protein encoded

by SEQ ID NO: 9 may be used as an immunogen to generate antibodies which immunospecifically bind FBP5

***Response to Applicant's arguments***

The Examiner agrees with the Applicant's arguments that the standard for satisfying the utility requirement is not whether the nucleic acid molecules of the present invention encode a functional ubiquitin ligase *per se*. However, FBP5 as set forth in SEQ ID NO: 10, which is encoded by nucleic acid sequences set forth in SEQ ID NO: 9, is asserted to be a functional ubiquitin ligase throughout the specification. The Examiner acknowledges that the specification does provide circumstantial evidences indicate that SEQ ID NO: 10 encoded by SEQ ID NO: 9 may encode a functional ubiquitin ligase because the specification discloses that (1) part of SEQ ID NO: 9 was initially identified by yeast two-hybrid as an interacting partner of Skp1, (2) FBP5 as set forth in SEQ ID NO: 10 can interact with Skp1 in immuno-precipitation experiments, and SEQ ID NO: 10 contains a F-box motif, which is present in many proteins whose functions are involved in regulation of protein degradation and cell cycle progression (3) FBP5 nucleic acid of the present invention is mapped and localized to chromosome position 6q25-26, a region shown to be a site of loss of heterozygosity in human ovarian, breast, and gastric cancer hepatocarcinomas, Burkitt's lymphomas, gliomas, and parathyroid adenomas. However, none of these circumstantial evidences unambiguously demonstrates any known function of the isolated and asserted human FBP5 gene, which is asserted to encode an ubiquitin ligase. The specification provides no specific teachings with regard to the function of the protein encoded by the FBP5 gene, and thus, accordingly, an asserted gene defined by nucleic acid sequences set forth in SEQ ID NO: 9 that can encode a protein as set forth in SEQ ID NO: 10, which has no known function,

does not provide specific or substantial utility, as discussed of record advanced on pages 3-7 of the office action mailed on 12/01/2006. It is worth noting that the disclosed interactions between FBP5 polypeptide and Skp1 in two-hybrid screening and immuno-precipitation experiments are not indicative of a functional ubiquitin ligase, and do not provide guidance for the unknown function of the FBP5 polypeptide. These interactions merely provide a starting point for further investigation to reveal the possible function of the FBP5 polypeptide.

Applicant's arguments that translocations, deletions and point mutations of FBP5 can be detected by Southern blotting, FISH, RFLP analysis, SSCP, and PCR as specific or substantial utility because of the loss of heterozygosity (LOH) in human ovarian, breast, and gastric cancer hepatocarcinomas, Burkitt's lymphomas, gliomas, and parathyroid adenomas within the chromosome position 6q25-26, are found not persuasive. In this regard, it is noted that the specification does not disclose how many genes (or ORFs, open reading frames) are located with chromosome position 6q25-26 and whether the loss of heterozygosity in the abovementioned diseases is located with in the claimed FBP5 gene (SEQ ID NO: 9) in a statically significant manner. Thus, in order to determine a specific utility for the claimed nucleic acid molecule, the skilled artisan would need to perform further research upon the claimed nucleic acid molecule, in order to determine any correlation between FBP5 function, ubiquitin ligase function, and any of the above-recited diseases. If the function of the gene or its encoded protein are not known in the art, nor disclosed by the specification at the time of filing, then the utility of the claimed invention is not apparent.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

2. Previous rejection of claim 52 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention, is **withdrawn** because the claim has been amended.

Claim 52 has been amended to recite “wherein said highly stringent conditions comprise hybridization in a buffer consisting of 0.5M NaHP04, 7% sodium dodecyl sulfate (SDS), 1mM EDTA at 65°C, and washing in a buffer consisting of 0.1xSSC/0.1% SDS at 68°C”.

3. Claim 51-55 and 75 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. *This rejection is necessitated by claim amendments filed on 04/03/2008.*

It is unclear whether the clause “which encodes an F-box polypeptide, or a fragment thereof” recited in claim 51 and 75 is modifying “SEQ ID NO: 9” or “a nucleotide sequence that is at least 25 consecutive nucleotides of SEQ ID NO: 9”, or “an isolated nucleic acid molecule”. Claims 53-55 depend from claim 51.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

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4. Previous rejection of claims 52-55 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement because the claim(s) contains subject matter, which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention is *withdrawn* because Applicant's Arguments in combination with claim amendments have been fully considered and found persuasive.

Claim 52 has been amended to recite "wherein said highly stringent conditions comprise hybridization in a buffer consisting of 0.5M NaHP04, 7% sodium dodecyl sulfate (SDS), 1 mM EDTA at 65°C, and washing in a buffer consisting of 0.1xSSC/0.1% SDS at 68°C".

Applicant argues the following: (i) The specification also teaches several assays to confirm the specificity of interaction between the FBPs identified via Yeast Two-Hybrid Screening and human Skp1; (ii) Translated FLAG-tagged FBPs were tested for binding to His-tagged Skp1 pre- bound to Nickel-agarose beads (see, e.g., the specification at page 75, lines 10 to 18; page 80, lines 1 to 9); (iii) The specification also teaches an *in vivo* assay for determining the interaction of a candidate FBP with Skp1, wherein FLAG-tagged FBP is expressed in HeLa cells from which cell extracts are made and subjected to immuno-precipitation with an anti-FLAG antibody (see, e.g., the specification at page 80, lines 15 to 23). Skp1 is then detected in an immunoblot with a specific antibody to Skp1 (see, e.g., the specification at page 80, lines 15 to 23). Thus, Applicant concludes that the specification teaches binding assays for an F-box protein and Skp1.

***Claim Rejection - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Previous rejection of claims 51-55 under 35 U.S.C. 102(b) as being anticipated by

**Skowyra et al.** (Skowyra et al., F-box proteins are receptors that recruit phosphorylated substrates to the SCF ubiquitin-ligase complex. *Cell*, 91(2): 209-19, 1997) is withdrawn because Applicant's Arguments in combination with claim amendments have been fully considered and found persuasive.

Claim 51 has been amended to recite "a nucleotide sequence that is at least 25 consecutive nucleotides of SEQ ID NO: 9".

Claim 52 has been amended to recite "wherein said highly stringent conditions comprise hybridization in a buffer consisting of 0.5M NaHP04, 7% sodium dodecyl sulfate (SDS), 1 mM EDTA at 65°C, and washing in a buffer consisting of 0.1xSSC/0.1% SDS at 68°C".

Applicant argues that Skowyra et al. does not teach an isolated nucleic acid molecule comprising a nucleotide sequence that is at least 25 consecutive nucleotides of SEQ ID NO: 9, which encodes an F-box polypeptide, or a fragment thereof, as recited in claim 51. Applicant argues that sequence alignments of SEQ ID NO: 9 SEQ ID NO: 9 with yeast Cdc4 cDNA and yeast Grr1 cDNA were performed using the LALIGN program which finds the best local alignments between SEQ ID NO: 9 and yeast Cdc4 cDNA; and between SEQ ID NO: 9 and

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yeast Grr1 cDNA are less than 25 consecutive nucleotides in length (Exhibit A). Thus, Applicant argues that the nucleotide sequences of yeast Cdc4 and yeast Grr1 do not comprise a nucleotide sequence of SEQ ID NO: 9 that is at least 25 nucleotides in length nor would they hybridize under recited highly stringent conditions to the nucleotide sequence of SEQ ID NO: 9.

6. Claims 51-55 are rejected under 35 U.S.C. 102(e) as being anticipated by Reed et al. (US patent 6,638,734, issued 10/28/2003, effective filing date 06/11/1999). *This rejection is necessitated by claim amendment filed on 04/03/2008.*

It is noted that the priority date of claims 51-55 is 08/27/1999, the filing date of parent application 09/385,219, now patent 6,720,181. Moreover, claim 51 has been amended to recite “a nucleotide sequence that is at least 25 consecutive nucleotides of SEQ ID NO: 9”, which no longer requires full length of SEQ ID NO: 9 --- a 2076 nucleotide-long polynucleotide. The limitation “binds to Skp1” recited in claim 52 is the inherent property of the amino acid sequences, which is not considered for patentable weight.

Reed et al. teaches SEQ ID NO: 13 that share 96.7% identical sequences with SEQ ID NO: 9 of instant application (see alignment below, Qy, query; Db, database). Reed et al. teaches expression vector and host cell for expression of disclosed sequences (See lines 47-58, col. 14, Reed et al., 2003). Reed et al. teaches high stringency hybridization (See lines 32-40, col. 8) and the 2030 identical nucleotide sequences between SEQ ID NO: 13 disclosed by Reed et al. and SEQ ID NO: 9 of instant application, will inherently hybridize to SEQ ID NO: 9 of instant application under the highly stringent hybridization recited in claim 52. Claims 53-55 depend from claims 51 or 52.

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Thus, Reed et al. clearly anticipate claims 51-55 of instant application.

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RESULT 3
US-09/591-694-13
Sequence 13, Application US/09591694
Patent No. 6638734
GENERAL INFORMATION:
APPLICANT: John C. Reed
APPLICANT: Shuichi Matsuzawa
TITLE OF INVENTION: Nucleic Acid Encoding Proteins Involved
IN THE REGULATION OF Protein Degradation, Products and Methods Related Thereto
FILE REFERENCE: P=J 4220
CURRENT APPLICATION NUMBER: US/09/591,694
CURRENT FILING DATE: 2000-06-09
EARLIEST APPLICATION NUMBER: US 09/330,517
EARLIEST FILING DATE: 1999-06-11
NUMBER OF SEQ ID NOS: 49
SEQUENCE SOURCE: FastSEQ for Windows Version 4.0
SEQ ID NO 13
LENGTH: 2037
TYPE: DNA
ORGANISM: Homo sapien
FEATURE:
NAME/KEY: CDS
LOCATION: (70)...(1410)
US-09/591-694-13

Query Match      96.7%; Score 2008.4; DB 3; Length 2037;
Best Local Similarity 99.7%; Pred. No. 0;
Matches 2023; Conservative 0; Mismatches 6; Indels 1; Gaps 1;

Qy      1 AGGTTGCCTAGCTGGCCCCGGAGCGGGTCCTCCACCTGAGGCAGAACCACTCGGGTGG 60
Dn      9 AGGTTGCCTAGCTGGCCCCGGAGCGGGTCCTCCACCTGAGGCAGAACCACTCGGGTGG 68

Qy      61 CATGAGCCGGCGGCCCTGAGCAGCSCGCCAACCGCCGCGTCCTGAGCCACCCCGCAG 120
Dn      69 CATGAGCCGGCGGCCCTGAGCAGCSCGCCAACCGCCGCGTCCTGAGCCACCCCGCAG 128

Qy      121 CCCCAAGCAGTGTGACACCCGGCGGGCGCCCTCGACCCCTCGGGTAGTGAAAAGAAG 180
Dn      129 CCCCAAGCAGTGTGACACCCGGCGGGCGCCCTCGACCCCTCGGGTAGTGAAAAGAAG 188

Qy      181 TCTCACCCCTTCTGTCAAATTGAACTGTGATTTTAATGTAAACCATGTTGATCCCGACT 240
Dn      189 TCTCACCCCTTCTGTCAAATTGAACTGTGATTTTAATGTAAACCATGTTGATCCCGACT 248

Qy      241 TAACTGGTAAACCTGTGATCATTTGGAAACTGTGATTTCTCACCCCGCATCTGG 300
Dn      249 TAAACTGGTAAACCTGTGATCATTTGGAAACTGTGATTTCTCACCCCGCATCTGG 308

Qy      301 AGGTTCCGTAAAGACTGCTATGAAACTGTGATGAAAGGGCTGCAITGTATGGGTCAACCGAT 360
Dn      309 AGGTTCCGTAAAGACTGCTATGAAACTGTGATGAAAGGGCTGCAITGTATGGGTCAACCGAT 368

Qy      361 TGTGAACCCCTGGATTTGACACTTGGAACTGTGAAACGCAACCCCTGTGATACAAAGGAAA 420
Dn      369 TGTGAACCCCTGGATTTGACACTTGGAACTGTGAAACGCAACCCCTGTGATACAAAGGAAA 428

Qy      421 TCAACATGTGCAACAGCACACTTAAAGTCAAATGAAATAGAACATGTGAGAACAGCAGTAG 480
Dn      429 TCAACATGTGCAACAGCACACTTAAAGTCAAATGAAATAGAACATGTGAGAACAGCAGTAG 488

Qy      481 ACTTTATGAAAGCAGTGGCTATTCCTGATTTCTCAACAAAGTGGCTCAGTGAACTG 540
Dn      489 ACTTTATGAAAGCAGTGGCTATTCCTGATTTCTCAACAAAGTGGCTCAGTGAACTG 548

Qy      541 AGAAAGGTAGCCCTGGAGGGAGATTTCGGTGAACAGTCTACAACTCCGGCTGGCTACAAT 600
Dn      549 AGAAAGGTACCCCTGGAGGGAGATTTCGGTGAACAGTCTACAACTCCGGCTGGCTACAAT 608

Qy      601 ACAAAACCCAGACCCATAATCCACAAACAAACTTGTGCGGAGTCTTCAATTTGAAAAGT 660
Dn      609 ACAAAACCCAGACCCATAATCCACAAACAAACTTGTGCGGAGTCTTCAATTTGAAAAGT 668

Qy      661 GGTTTGTCAACATTAAGAAAAAGAATCTAAAGTAGATGAGTCGGAGAGNGCT 720
Dn      669 GGTTTGTCAACATTAAGAAAAAGAATCTAAAGTAGATGAGTCGGAGAGNGCT 728

Qy      721 GAGGGAAATTATAGCCAGGGAAAATTAGACTGCAAGATAATAAGGGAGAAAATGGG 780

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Db 729 GAAGGAAAATTATAGCCAGAGGAATTTCAGCTGCAAAATAATTGGCAGAAAAATGGG 788  
 Qy 781 CCTAGAAATGTGAGATATCTCAGCGAACACTTTCAGAAACGGGACTCAGACATGCTTAGC 840  
 Db 789 CCTAGAAATGTGAGATATCTCAGCGAACACTTTCAGAAACGGGACTCAGACATGCTTAGC 848  
 Qy 841 AACATTTTACGCCAACCTCAGTGACATGAACTTAAACAAATGTTGCTAAAGTGACACAAAC 900  
 Db 849 AACATTTTACGCCAACCTCAGTGACATGAGCTTAACAAATGTTGCTAAAGTGACACAAAC 908  
 Qy 901 TTGGAAGAGAATCTGAAAGATGATAAGGUGGGCATTCAGTTGCTAACAGTAAACCAATACA 960  
 Db 909 TTGGAAGAGAATCTGAAAGATGATAAGGUGGGATTCTCAGTTGCTAACAGTAAACCAATACA 968  
 Qy 961 AAGAGTTACCGAAAACCAAATAAATTTTACCCATGCCTCAACCAGAGAAATGTTTAT 1020  
 Db 969 AAGAGTTACCGAAAACCAAATAAATTTTACCCATGCCTCAACCAGAGAAATGTTTAT 1028  
 Qy 1021 GTTCAGAACCCCCACTGGCCTCTGTTTCAAGAAATCAGCAACCCAGACATCTCTCAAAAAGA 1080  
 Db 1029 GTTCAGAACCCCCACTGGCCTCTGTTTCAAGAAATCAGCAACCCAGACATCTCTCAAAAAGA 1088  
 Qy 1081 TGCCTCAAAACAAAGTATCACATCAAGGTGATCAGAAAGGGTTTACTTATAGTGCACACAA 1140  
 Db 1089 TGCCTCAAAACAAAGTATCACATCAAGGTGATCAGAAAGGGTTTACTTATAGTGCACACAA 1148  
 Qy 1141 TGAATTCTCTGAGGTTCCAGAACGATTGAAAAAAAGAACGAAAGCCCTAAAGGCCCTATTG 1200  
 Db 1149 TGAATTCTCTGAGGTTCCAGAACGATTGAAAAAAAGAACGAAAGCCCTAAAGGCCCTATTG 1208  
 Qy 1201 CGTGAATTACCCCTGAAAAATAATGATGCTATTACACACGGGAACTCTGAAACCGAGAAGG 1260  
 Db 1209 CGTGAATTACCCCTGAAAAATAATGATGCTATTACACACGGGAACTCTGAAACCGAGAAGG 1268  
 Qy 1261 CGTGGGAAATTGATTTATGAGTGAGTGTCTCTGTTATTATCATACTACTAAAGCTGTTTC 1320  
 Db 1269 CGTGGGAAATTGATTTATGAGTGAGTGTCTCTGTTATTATCATACTACTAAAGCTGTTTC 1328  
 Qy 1321 AGATGGCAAGCTCCCTCAAAACCGAGTGTAAAATAGGTCCCCCTGCCTGGTACAAAGAAAAG 1380  
 Db 1329 AGATGGCAAGCTCCCTCAAAACCGAGTGTAAAATAGGTCCCCCTGCCTGGTACAAAGAAAAG 1388  
 Qy 1381 CAAAAGAAATTACGAAGATTGATCTCTTAAATAATCATTTGTTACTGATCATGATGATG 1440  
 Db 1389 CAAAAGAAATTACGAAGATTGATCTCTTAAATAATCATTTGTTACTGATCATGATGATG 1448  
 Qy 1441 TAGTTAGAAAAATTGTTAGGTTAACCTAAAAAAATGTTGATGTTGATTTCAATTTTAT 1500  
 Db 1449 TAGTTAGAAAAATTGTTAGGTTAACCTAAAAAAATGTTGATGTTGATTTCAATTTTAT 1508  
 Qy 1501 GTGAAATCGGTGATGATCTCCAGGTTTTCCCCCAGAGATAAAAGGGTAGACAA 1560  
 Db 1509 GTGAAATCGGTGATGATCTCCAGGTTTTCCCCCAGAGATAAAAGGGTAGACAA 1568  
 Qy 1561 ACCCTCTAAATAATTCTCAATTAAATGAGAAAAAAAGTTAAJAACTTCTCAATACAAATCA 1620  
 Db 1569 ACCCTCTAAATAATTCTCAATTAAATGAGAAAAAAAGTTAAJAACTTCTCAATACAAATCA 1628  
 Qy 1621 AACAAATTAAATTTAAAGAAAAAAAGGAAAGGATAGTAGTGTAGTGTAGGGGAAAAAAA 1680  
 Db 1629 AACAAATTAAATTTAAAGAAAAAAAGGAAAGGATAGTAGTGTAGTGTAGGGG-AAAAAA 1687  
 Qy 1681 AAATGTGTCATTTTGTGTTAGGAAACCCATGCAATTTCAGCTAGACAGCTTAAAT 1740  
 Db 1688 AAATGTGTCATTTTGTGTTAGGAAACCCATGCAATTTCAGCTAGACAGCTTAAAT 1747  
 Qy 1741 ATGGCTGGTTTCCATCTGTTAGCATTTTCAGACATTTCAGCTAGTTGCTCTACTCAATTGAT 1800  
 Db 1748 ATGGCTGGTTTCCATCTGTTAGCATTTTCAGACATTTCAGCTAGTTGCTCTACTCAATTGAT 1807  
 Qy 1801 ACCACAGAAATAACCTCTGAGGTCTTAAATGTTGTTGTCACCTTCTCAAAAGCTTT 1860  
 Db 1808 ACCACAGAAATAACCTCTGAGGTCTTAAATGTTGTTGTCACCTTCTCAAAAGCTTT 1867  
 Qy 1861 TTTTCATTTGTTGTTGTTTCCAAAGAAAGTATGCTTGTAAACCTTGTGTTCCCTTA 1920  
 Db 1868 TTTTCATTTGTTGTTGTTTCCAAAGAAAGTATGCTTGTAAACCTTGTGTTCCCTTA 1927  
 Qy 1921 TTTCTGAAATCTGTTTAAATATTTTGTATACATGTTAAATTTCTGTTGTTTGTATATG 1980  
 Db 1928 TTTCTGAAATCTGTTTAAATATTTTGTATACATGTTAAATTTCTGTTGTTTGTATATG 1987  
 Qy 1981 TCAAAAGAAATTGCTCTGTTGATCATATAAAATAAATTTGCTCAAT 2030

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Db : 1988 TCAAAAGAATATGTCCTTGTATGTCACATATAAAAATTTGCTCAAT 203?

7. Claims 51-55, 75 and 76 are rejected under 35 U.S.C. 102(e) as being anticipated by Williams et al. (US patent 6,964,868, issued 11/15/2005, effective filing date 01/28/1998). This rejection is necessitated by claim amendment filed on 04/03/2008.

It is noted that the priority date of claim 51 is 08/27/1999, the filing date of parent application 09/385,219, now patent 6,720,181. The priority date of claim 75 is determined to be 08/28/1998, which is the filing date of U.S. Provisional Patent Application No. 60/098,355.

Claim 51 has been amended to recite “a nucleotide sequence that is at least 25 consecutive nucleotides of SEQ ID NO: 9”, which no longer requires full length of SEQ ID NO: 9 --- a 2076 nucleotide-long polynucleotide. Claims 53-55 depend from claims 51 or 52. Newly added claim 75 recites “a nucleotide sequence that is at least 25 consecutive nucleotides from nucleotide position 1 to nucleotide position 1409 of SEQ ID NO: 9”.

It is noted that the limitation "at least about 95% similarity to SEQ ID NO: 10" recited in claim 76 does not require full length SEQ ID NO: 10. The limitation "binds to Skp1" recited in claims 52 and 76 is the inherent property of the amino acid sequences, which is not considered for patentable weight.

Williams et al. teaches SEQ ID NO: 13 that share 96.7% identical sequences with the nucleotide sequences starting from position 712 to position 1220 of SEQ ID NO: 9 of instant application (see alignment below, Qy, query; Db, database). The ~ 400 identical nucleotide sequences between SEQ ID NO: 13 disclosed by Reed et al. and SEQ ID NO: 9 of instant application, will inherently hybridize to SEQ ID NO: 9 of instant application under the highly

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stringent hybridization recited in claim 52. Williams et al. teaches expression vector and host cell for expression of disclosed sequences (See claims 2, 3, 8, and 9, Williams et al., 2005).

Thus, Reed et al. clearly anticipate claims 51-55, 75 and 76 of instant application.

RESULT 5  
US-0297-648-4117  
> Sequence 4117, Application US/09297648  
> Patent No. 6964868  
> GENERAL INFORMATION:  
> APPLICANT: Williams, Lewis T.  
> APPLICANT: Sanchez, Jaime  
> APPLICANT: Ionis, Michael A.  
> APPLICANT: Garcia, Pablo Dominguez  
> APPLICANT: Suduth-Klinger, Julie  
> APPLICANT: Reinhard, Christoph  
> APPLICANT: Giese, Klaus  
> APPLICANT: Randazzo, Filippo  
> APPLICANT: Sano, Giulia C.  
> APPLICANT: Pet, David  
> APPLICANT: Kassan, Alaf  
> APPLICANT: Lamson, George  
> APPLICANT: Drmanac, Radjo  
> APPLICANT: Crkvenjakov, Radomir  
> APPLICANT: Dickson, Mark  
> APPLICANT: Drmanac, Sesana  
> APPLICANT: Jones, Jim  
> APPLICANT: Lezhkoultz, Dena  
> APPLICANT: Kita, David  
> APPLICANT: Garcia, Veronica  
> APPLICANT: Jones, William Lee  
> APPLICANT: Stachoe-Crain, Brijit  
> TITLE OF INVENTION: NC\_6964868 Human Genes and Gene Expression  
> TITLE OF ALTERNATIVE PRODUCTS II  
> FILE REFERENCE: 2300+180  
> CURRENT APPLICATION NUMBER: US/09/297,648  
> CURRENT FILING DATE: 2000-03-10  
> PRIOR APPLICATION NUMBER: 60/072,910  
> PRIOR FILING DATE: 1998-01-28  
> PRIOR APPLICATION NUMBER: 60/075,954  
> PRIOR FILING DATE: 1998-02-24  
> PRIOR APPLICATION NUMBER: 60/070,566  
> PRIOR FILING DATE: 1998-04-03  
> PRIOR APPLICATION NUMBER: 60/080,515  
> PRIOR FILING DATE: 1998-04-03  
> PRIOR APPLICATION NUMBER: 60/080,114  
> PRIOR FILING DATE: 1998-03-31  
> PRIOR APPLICATION NUMBER: 60/105,234  
> PRIOR FILING DATE: 1998-10-21  
> NUMBER OF SEQ ID NO(S): 5242  
> SOFTWARE: FastSeq for Windows Version 4.0  
> SEQ ID NO 4117  
> LENGTH: 817  
> TYPE: DNA  
> ORGANISM: Homo sapiens  
> FEATURE:  
> NAME/KS1: misc\_feature  
> LOCATION: (1)..,(617)  
> OTHER INFORMATION: n = A,T,C or G  
US-0297-648-4117

```

Query Match      20.2t; Score 420; DB #; Length 817;
Best Local Similarity  88.4%; Fred. No. 2.4e-90;
Matches 450; Conservative 0; Mismatches 59; Indels 0; Gaps 0

Qy    712 GGAAGTGTCTGAAGGAAATATAAGCCAGGAAAATTAGCTCGCAAGATAATTGGCAG 771
Db    68 GGAAGTGTCTGAAGGAAATATAAGCCAGGAAAATTAGCTCGCAAGATAATTGGCAG 127
Qy    772 AAAAAATGGGCCGTAGATGTGTAGATTTCTACGGACCTCTTGGAAGGAGGACTCGACA 631
Db    129 AAAAATGGGCCGTAGATGTGTAGATTTCTACGGACCTCTTGGAAGGAGGACTCGACA
Qy    832 TGTCTAGCACAACTATTAGCAACAACTCAGTGTGACTGAGTTCATGCTAACGTAAGCT 891
Db    188 TGTCTAGCACAACTATTAGCAACAACTCAGTGTGACTGAGTTCATGCTAACGTAAGCT

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Qy	892	GAGCACAACTTGGAGAAAGATCCTAGAAGATGATAAGGGGGCATTCAGTTGTACAGTAA	951
Db	248	GAGCACAACTTGGAGAGATCCTAGAAGATGATAAGGGGGCATTCAGTTGTACAGTAA	307
Qy	952	AAGATACAAAAGAGTTACCCAAAACACAACTAAATTTCACCTCACTGCTTCAACAGAGA	1011
Db	308	ACGATACAAAAGAGTTACCCAAAACACAACTAAATTTCACCTCACTGCTTCAACAGAGA	367
Qy	1012	ATATGTTAATGTTAGAACCCCCACTGGCTTCGTTCAAGAAATCAAGGCCAGACTTCT	1071
Db	368	ATATGTTAATGTTAGAACCCCCACTGGCTTCGTTCAAGAAATCAAGGCCAGACTTCT	427
Qy	1072	CAAAAAAGATGCTCAAACCAAGTTACCACTCAAGGTGATCAGAAAGGTCTACATTAG	1131
Db	428	CAAAAAAGATGCTCAAACCRAGTATCCAACTCAAGGTGATCAGAAAGGTCTACATTAG	487
Qy	1132	TGCGACACAATGAATTCTCTGAGGTTGCCAAGGACATTGAAAAAGAACGAAAGCTAAAGC	1191
Db	468	TCCGACACCATGAAANTTTTGAGGGTGCNAAAANACCATTGAAAAAGAACCNAAAAGC	547
Qy	1192	CTGTTATTCGTTAATTCACCTGCAAAAT	1220
Db	548	CTTAAAGCCCTGINTTCHCTTUAATT	576

### **Conclusion**

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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9. No claim is allowed.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication from the examiner should be directed to Wu-Cheng Winston Shen whose telephone number is (571) 272-3157 and Fax number is 571-273-3157. The examiner can normally be reached on Monday through Friday from 8:00 AM to 4:30 PM. If attempts to reach the examiner by telephone are unsuccessful, the supervisory patent examiner, Peter Paras, can be reached on (571) 272-4517. The fax number for TC 1600 is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Wu-Cheng Winston Shen, Ph. D.  
Patent Examiner  
Art Unit 1632

/Thaian N. Ton/  
Primary Examiner, Art Unit 1632